

Money Transmitter Permissible Investment Worksheet (New Applicant)

Nebraska Department of Banking and Finance
<http://www.ndbf.ne.gov>

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 Lincoln, NE 68509-5006
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Licensee's Legal Name: _____

Neb. Rev. Stat. § 8-2728 states "Each licensee shall at all times possess permissible investments having an aggregate market value, calculated in accordance with generally accepted accounting principles, of not less than the aggregate face amount of all outstanding payment instruments and stored value issued or sold by the licensee in the United States."

OUTSTANDING PAYMENT INSTRUMENTS AND STORED VALUE

Description	Number of payment instruments and stored value outstanding	Dollar amount of payment instruments and stored value outstanding
Total number and dollar amount of payment instruments and stored value obligations sold by the Licensee in the United States that were outstanding as of last day of the quarter immediately preceding the filing of the application		

PERMISSIBLE INVESTMENTS

	Description	Dollar amount as of the last day of the quarter immediately preceding the filing of the application
1	Cash	
2	Certificates of deposit or other debt obligations of a financial institution, either domestic or foreign	
3	Bills of exchange or time drafts drawn on and accepted by a commercial bank, otherwise known as bankers' acceptances, which are eligible for purchase by member banks of the federal reserve system	
4	Investments bearing a rating of one of the three highest grades as defined by a nationally recognized organization that rates such securities	

5	Investment securities that are obligations of the United States or its agencies or instrumentalities, obligations that are guaranteed fully as to principal and interest by the United States, or any obligations of any state or political subdivision thereof	
6	Shares in a money market mutual fund, interest-bearing bills or notes or bonds, debentures or stock traded on any national securities exchange or on a national over-the-counter market, or mutual funds primarily composed of such securities or a fund composed of one or more permissible investments as set forth in this section	
7	A demand borrowing agreement or agreements made to a corporation or a subsidiary of a corporation whose capital stock is listed on a national exchange	
8	Receivables that are due to a licensee from its authorized delegates pursuant to a contract which are not past due or doubtful of collection	

I REPRESENT THAT THE INFORMATION CONTAINED HEREIN IS TRUE AND COMPLETE, TO THE BEST OF MY KNOWLEDGE AND BELIEF.

Date

Signature

Typed Name and Title